## John Levy, Ph.D. – Litigation Support Experience

## **Summary:**

Engaged as an expert in over 50 cases Testimony at trial or arbitration in 7 cases Testimony at Markman or tutorial in 5 cases Deposition in 20 cases Expert report or declaration in 28 cases Expert (neutral) advisor to Court in 3 cases

Note: underlined engagements are currently active

### 2013-2014:

Crossroads Systems v. Dot Hill Systems Corp. 1:13-cv-00800-SSW.Dist.TX (Austin)and Oracle -00895, Huawei -01025, Cisco -00148, NetApp -00149, Quantum -00150Patents related to virtual local storageRole: expert for plaintiff Crossroads Systems; expert report, deposition & testimony for MarkmanStatus: Markman hearing completedSprinkle IP Law Group, AustinJohn Adair 512 637-9223Elizabeth Brown Fore 512 637-9220Steven Sprinkle 512 637-9221

Inter-Partes Reexamination (re Enova v. Seagate 1:13-cv-01011-LPS Dist.Delaware) Patents related to encryption of data to/from disk drives Role: expert for petitioner Seagate; Status: preparing petition Faegre Baker Daniels – Palo Alto Calvin Litsey 650 324 6708

Inter-Partes Reexamination (re Arendi v. Apple 1:12-cv-01596-LPS Dist. Del.,<br/>Arendi v. Motorola Mobility 1:12-cv-01601-LPS Dist. Del.)Patents related to handling of name and address retrieval across applications<br/>Role: expert for patent owner Arendi; expert declarations, depositions<br/>Status: awaiting decisions<br/>Sunstein Kann Murphy & Timbers – Boston<br/>Robert Asher 617 443-9292Bruce Sunstein 617 443-9292<br/>John Stickevers 617 443-9292

## *Inter-Partes* Reexamination (re Veeam v. Symantec) Patents related to backup/restore and data replication Role: expert for patent owner Symantec Corp.; expert declarations, depositions Status: awaiting decisions

Bryan Cave – St. Louis & New YorkDan Crowe 314 259 2619Joe Richetti 212 541 1092Hassan Albakri 212 541 2035

 Avid v. Harmonic 1:11-cv-01040-GMS Dist.Delaware

 Patents related to distributed video data storage

 Role: expert for Defendant Harmonic, expert reports on noninfringement & invalidity, depositions, trial testimony

 Status: jury verdict found no infringement

 Wilson Sonsini Goodrich & Rosati – San Francisco & Palo Alto

 James Yoon 650 493-9300

#### DDB Technologies v. Fox Sports Interactive et al. 1:11-cv-01014-LY W.Dist.TX (Austin)

Patents related to broadcasting sports events for animation on a computer Role: expert for Plaintiff DDB Technologies; **declaration**, expert reports on infringement & validity,

#### depositions, trial testimony

Status: trial complete; jury found no infringement McDonnell Boehnen Hulbert & Berghoff – Chicago Rory Shea 312 913-3337 Michael Gannon 312 913-2139

#### Ericsson v. Samsung ITC 337-TA-862

Patents related to Java interpreter and user input Role: expert for Respondent Samsung, **expert reports on noninfringement, deposition** Status: patents dropped from ITC case 3 weeks before trial *Fish & Richardson – Washington, DC* Ahmed Davis 202 626-6379

#### CSR v. Freescale 3:12-cv-02619-RS N.Dist.CA

Patents related to serial interface Role: expert for Plaintiff CSR Status: settled *DLA Piper – Palo Alto & San Francisco* Timothy Lohse 650 833-2055

#### SuperSpeed v. Google 4:12 cv 01688 (S.Dist.TX)

Patent related to caching in a network Role: expert for Defendant Google Status: unknown *Kasowitz Benson Torres & Friedman – Redwood Shores, CA* Gabriel Gross 650 453-5414

#### Mediatek v. Freescale 4:11-cv-05341-YGR N.Dist.CA

Patents related to bus priority and transfers Role: expert for Plaintiff Mediatek Status: unknown *Wilmer Cutler Pickering Hale and Dorr – Palo Alto & New York* Adele Frankel 212 937 7273

#### Robocast v. Microsoft 1:10-cv-01055 Dist.Delaware

Patent related to automated browsing system Role: expert for Defendant Microsoft Status: unknown *Fish & Richardson – Boston* Sivananda Reddy 617 521-7085

## 2011-2012:

Summit Data Systems v. EMC & NetApp 1:10-cv-00749-GMS Dist.Delaware Patents related to file access over multiple network connections Role: expert for Plaintiff Summit Data Systems, expert report, deposition Status: settled *Morris, Manning & Martin LLP – Atlanta* Bryan Harrison 404 504-7619

#### Tropicana Las Vegas v. Weseri et al. Clark County, NV, Case no. A618625

Breach of contract dispute related to in-room television services Role: expert for Plaintiff Tropicana Las Vegas, **expert report** Status: settled *Glaser Weil Fink Jacobs Howard Avchen & Shapiro LLP – Los Angeles* Brad Parr 310 282-6241

#### Advanced Processor Technologies v. Analog Devices et al. 2:11-cv-00019-JRG E.Dist.TX

Patents related to instruction cache, translation lookaside buffers, virtual pages Role: expert for co-defendant Marvell Status: settled *Fish & Richardson P.C., Redwood City, CA* Joseph Colaianni, Jr. 202 626-6434 Jerry Yen 650 839-5012

## Mentor Graphics v. EVE-USA 3:10 CV 954 HU Dist.Oregon

Patent related to circuit design emulation Role: expert for defendant EVE Status: counsel changed as EVE was acquired by Synopsys *Cooley LLP, Palo Alto* Ricardo Rodriguez 650 843-5000

#### Inter Partes Reexamination of US Patent 6,993,661 (re\_Garfinkle v. Decru & NetApp 5:07cv19)

Patent related to sanitizing disk storage units Role: expert for Patent Owner Garfinkle; **declaration** *Fortkort & Houston, P.C., Austin, TX* Michael Fortkort 703 435-9390

#### MonkeyMedia v. Apple, 1:10-cv-00319 W.Dist.TX (Austin)

MonkeyMedia v. Buena Vista et al. 1:10-CV-00533

Patents related to user interfaces with salience controls; seamlessly expanding; Role: expert for plaintiff MonkeyMedia; **Expert report on claim construction**,

## deposition & testimony at Markman

Status: awaiting completion of reexam Graves Dougherty Hearon & Moody, Austin Steven Smit 512 480-5653

#### **Crossroads Systems v. 3PAR et al.** 1:10-cv-652-SS W.Dist.TX (Austin) **Crossroads Systems v. Infortrend Corp. et al.** 1:10-cv-104-SS

Patents related to virtual local storage Role: expert for plaintiff Crossroads Systems; **expert report** for Markman Status: Settled *Sprinkle IP Law Group, Austin* John Adair 512 637-9223 Elizabeth Brown Fore 512 637-9220 Steven Sprinkle 512 637-9221

**In the matter of certain USB portable storage devices**, ITC 337-TA-788 TRE Patents related to encryption in flash drives Role: expert for Respondent Imation; **expert reports, deposition** Status: complaint withdrawn after expert report & deposition *Dorsey & Whitney, New York & Minneapolis* Paul Ackerman 212 415-9372

# In the matter of certain DRAM and NAND flash memory devices ITC 337-TA-803 EJG Patents related to memory chip design

Role: expert for Complainant; **expert report, deposition, testimony** in Markman Status: my role as expert carried only through Markman hearing *Irell & Manella, Los Angeles* Brian Ledahl 310-203-7927

#### Enova v. Initio et al. 10-004-LPS Dist.Delaware

Patents related to disk data encryption Role: expert for defendants including Western Digital Status: case taken over by K&L Gates *Connolly Bove Lodge & Hutz, Los Angeles* Manuel Nelson 213 787-2515

## Garfinkle v. Decru and Network Appliance 5:07cv19

Patent related to sanitizing disk storage units Role: expert for Plaintiff Garfinkle; **declaration** Status: patent reexamination pending *Bernstein Litowitz Berger & Grossman, New York* Joshua Raskin 212 554-1590

## Convolve v. Dell et al. 2:08-cv-244 E.Dist.TX (Marshall)

Patents related to hard disk drive management Role: expert for co-defendant Western Digital; trial testimony, expert report on noninfringement, deposition

Status: trial complete, jury awarded minimal damages *Irell & Manella, Los Angeles* Garland Kelley (310) 282-6215 (now at Glaser Weil)

Richard Birnholz 310 203-7052

## Xpoint Technologies v. Intel et al. 09-CV-0026 SLR Dist.Delaware

Patents related to backup & recovery in computers Role: expert for plaintiff Xpoint Technologies - **expert report on infringement** Status: settled *Bernstein Litowitz Berger & Grossman, New York* Chad Johnson 212 554-1396 *Sughrue Mion, Washington, DC* John Rabena 202 663-7472 Kelly Hyndman 202 663-7404

#### Lenovo v. Shuttle, 5:08-CV-3454 JF

Patents related to BIOS and power management Role: expert for defendant Shuttle Status: settled *Sidley Austin, Palo Alto* Robert Morrill 650 565-7010 Peter Kang 650 565-7006

## 2009-2010:

Mirror Worlds v. Apple 6:08-CV-88 LED E.Dist.TX (Tyler) Patents related to stream-based information management Role: expert for plaintiff Mirror Worlds; trial testimony, expert report, deposition Status: jury award overruled by JMOL, validity affirmed; CAFC affirmed JMOL *Stroock & Stroock & Lavan, New York* Joseph Diamante 212 806-5472 Ian DiBernardo 212 806-5867 Ken Stein 212 806-5491 *Ireland Carroll & Kelley, Tyler, TX* Otis Carroll 903 561-1600

Watson v. Raytheon 37-2008-00084769-CU-OE-CTL Class action related to wage & hour laws for software engineers Role: expert for defendant Raytheon; expert declaration Status: settled *DLA Piper, San Diego* John Fitzsimmons 619 699-2972 Rick Storms 619 699 3526

#### 2008-2009:

#### Convolve v. Compaq 00 Civ. 5141 (JSM)

Patents and trade secrets related to hard disk drive management Role: testifying expert for defendant Compaq (HP); **expert report; deposition** Status: MSJ filed *Bartlit Beck Herman, Chicago* Chris Landgraff 312 494-4477 Brian O'Donoghue 312 494-4402

#### Network Appliance v. Sun Microsystems 3:07-cv-06053-EDL

Patents related to storage networks Role: expert for defendant Sun Microsystems; **testimony at tutorial and Markman hearing** Status: settled *DLA Piper, Washington, DC & Reston, VA* Clayton Thompson 703 773-4143

#### CCCC v. Intel, C 05-01766 RMW (HRL) N.Dist.CA (San Jose)

Patent related to cache coherency in multiple-bus systems Role: expert for defendant Intel, **expert report for claim construction**, **testimony** at tutorials Status: MSJ granted with respect to non-infringement *Morrison & Foerster, San Francisco, Palo Alto & Los Angeles* Jim Bennett 415 268-7169 Karl Kramer 650 813-5775 Hector Gallegos 213 892-5255

#### Chamberlain v. Overhead Door 08-CV-3806

Patent related to garage door operator Role: expert for defendant Overhead Door; **expert report & deposition** Status: settled; *Latham & Watkins, Chicago* Ken Schuler 312 876-7700 Kevin May 312 876-7677

## OPTi v. AMD et al. 2:07-cv-278 TJW

Patents related to low-pin-count bus for PC Role: consulting expert for defendant AMD Status: pending *Ropes & Gray, Palo Alto* Gabrielle Higgins 650 617-4015

#### PC Doctor v. Ultra-X, CV06-1729 (GAF)

Patent related to USB bus testers Role: expert for defendant Ultra-X Status: settled *Tingley Piontkowski, San Jose* Bruce Piontkowski 408 283-7000

## McCabe v. Dell CV06-7811 GAF Carideo v. Dell C06-1772 JLR

Class actions related to putative PC failures Role: consulting expert for defendant Dell Status: unknown *Reeves & Brightwell, Austin, TX* Kim Brightwell 512 334-4502

#### Ecompare v. Priceline.com C07-00236 JW

Trade secrets related to software technology Role: expert for defendant Priceline.com; **expert declaration** Status: settled *Sedgwick Detert Moran & Arnold, Los Angeles* James Nelson 213 615-8084

#### ProMOS Technologies v. Freescale Semiconductor C06-788 (JJF)

Patents related to cache memories Role: consulting expert on invalidity for defendant Freescale Status: settled *Jones Day, Cleveland, OH* F. Drexel Feeling 216 586-7199

#### Griffin v. Dell Canada 06-CV-309738 CP

Class action related to putative computer failures Role: expert for defendant Dell Canada; **expert declaration, deposition**; inspection of units Status: pending *Gowling Lafleur Henderson - Toronto* Malcolm Ruby 416 862-4314

## Hitachi Global Storage Technologies v. Samsung Electronics 9:06-cv-276 RHC

Patents related to hard disk design Role: expert for plaintiff HGST; **expert declaration for claim construction** Status: settled *McDermott, Will & Emery, Washington, DC* Michael Connelly 202 756-8037

#### 2006 - 2007:

#### Pub Util Dist No. 1 of Snohomish County, WA v. Black & Veatch Construction

J A M S Arbitration no. 1160015776 (Seattle, WA) Contract dispute related to design and installation of hardware and software Role: **expert report** and **testimony at arbitration** for respondent Black & Veatch Status: arbitration ruling issued *Husch & Eppenberger, Kansas City* Leonard Wagner 816 283-4634 John Power 816 283-4651

#### EchoStar v. TiVo, 5:05-cv-81-DF-CMC

Patents related to video stream storage Role: expert for plaintiff EchoStar Status: patent re-exam pending *Morrison & Foerster, Palo Alto* Marc Pernick 650 813-5718

## 2005-2006:

#### Gateway v. HP, 04-cv-0613-B

Patent related to disk drive reserve area Role: expert for defendant Hewlett-Packard Status: settled; HP received \$47 million in cross-license agreement *DLA Piper Rudnick Gray Cary, Palo Alto* Brent Yamashita 650 833-2348

#### LG Electronics v. FIC, 2002 HC C No. 02150

(European) patent on memory controller Role: expert for defendant FIC Status: **expert report & rebuttal report** completed *NautaDutilh NV, Amsterdam, The Netherlands* Charles Gielen <u>Charles.Gielen@nautadutilh.com</u>

Maaike de Jong Maaike.deJong@nautadutilh.com

#### **Chang v. SBC**, San Francisco Superior Unlimited Action No. CGC-04-434039 Personal injury suit on behalf of an ASIC design engineer

Role: expert on design engineering job requirements for plaintiff Chang, **deposition** Status: settled *Meisel & Krentsa, San Francisco*, Andrew Meisel 415 788-2035

#### SEC matter regarding Quovadx, Inc., HO-9822

Role: expert on software industry practice for beta test sites Status: **expert declaration** submitted as part of response to Wells Notice *Wilson, Sonsini, Goodrich & Rosati, Palo Alto* Jared Kopel 650 493-9300

## [individual v. a major PC manufacturer]

Potential class action suit related to alleged PC failures Role: consultant for defendant [name withheld]; testing of PC units Status: settled *Morgan Lewis & Bockius, Philadelphia* Barry McCoy 215 963-5896

#### Measurement Computing v. National Instruments, 03-10107REK Dist.MA

Patent related to industrial control computer systems Role: consultant for plaintiff Softwire Status: settled *Cesari & McKenna, Boston* Martin O'Donnell 617 951-3046 Mike Reinemann 617 951-3060

#### Micron v. Motorola, A04-CA-007, A04-CA-390-LY

Patent related to ATA interface Role: consultant for defendant Motorola Status: settled *Merchant & Gould, Atlanta & Minneapolis* George Jordan 404 954-5088 Tom Strouse 612 336-4606

## **Seagate Technology v. Atmel Corp.**, Santa Clara County (CA) Superior Court CV809883 Product liability related to putative EEPROM chip failures in disk drives

Role: expert for defendant Atmel; data mining in failure analysis database; repair center inspection Status: settled *Farella Braun + Martel, San Francisco, CA* Nan Joesten 415 954-4415 Bob Holtzapple 415 954-4939

### 2003-2004:

**F5 Networks v. Radware, Inc.**, C03-688P W.Dist.WA Patent related to web servers, cookies, http protocol, networking Role: neutral expert (technical advisor to Court); **tutorial for Court**; attended Markman hearing Status: settled after Markman hearing U.S. District Court, Western District of Washington, Seattle Hon. Marsha Pechman

#### Matsushita Kotobuki Electronics (MKE) v. Maxtor & Quantum, C03-05860 JF

Patents and trade secrets related to hard disk manufacturing Role: expert for plaintiff MKE; factory visit to identify trade secrets Status: settled; plaintiff received cash and patent rights in settlement *Weil, Gotshal & Manges, New York* – Salvatore Romanello 212 310-8454 *Dewey Ballantine, New York* – Allan Garcia 212 259-6196

#### LG Electronics v. FIC et al., C01-01594 CW, C01-00326 CW

Patents on memory controller and multiprocessor cache Role: testifying expert for defendants FIC and Asustek Status: **expert report on noninfringement & deposition** completed;

favorable ruling on summary judgment Squire, Sanders & Dempsey, Palo Alto Ronald LeMieux 650 843-5499 (Cooley LLP) Marc Sockol 650 815-2602 (Sheppard Mullin Richter & Hampton)

#### LG Electronics v. FIC et al., C01-01375 CW

Patents on memory controller and multiprocessor cache Role: testifying expert for defendant Compal Status: **expert report on noninfringement & deposition** completed; favorable ruling on summary judgment *Orrick, Herrington & Sutcliffe, Menlo Park* Kai Tseng 650 614-7688 Rowena Y. Young 650 614-7370

## Brookhaven Typeset Services v. Adobe C01-20813-RMW N.Dist.CA (San Jose)

Alleged misappropriation of software trade secrets and copyright infringement Role: neutral expert (technical advisor to Court); report on "meet & confer" session Status: pending U.S. District Court, Northern District of California, San Jose Hon. Ronald Whyte

# **Oak Technologies v. UMC,** 97-20959 RMW, C 97-21126 RMW N.Dist.CA (San Jose) Patent on CD ROM controller chip

Role: neutral expert (technical advisor to Court); review of proposed Markman order Status: pending U.S. District Court, Northern District of California, San Jose Hon. Ronald Whyte

## (no cases from 1989 to 1999)

## 1988:

**NEC v. Intel Corp**, C-84-20799-WPG Alleged copyright infringement of on-chip microcode Role: consultant, **extensive pre-trial preparation** for plaintiff NEC Status: completed; plaintiff won on 3 of 4 points regarding copyright *Skjerven, Morrill, McPherson, Franklin & Friel, Santa Clara, CA* Alan McPherson

## 1986:

## Seattle Computer Products v. Microsoft

King County (WA) Superior Court 86-2-02195-7
Contract dispute involving sale and licensing of DOS operating system source code
Role: testifying expert on software versions for plaintiff Seattle Computer Products; deposition and trial testimony
Status: settled during jury deliberation; plaintiff received settlement of \$925,000
Bogle & Gates, Seattle, WA

J. Peter Shapiro J.P.Shapiro@comcast.net

## 1978:

# **Digital Equipment Corp v. Microcomputer Systems Corp** N.Dist.CA (San Francisco) Patents on peripheral controller bus

Role: percipient witness as inventor for plaintiff Digital, **deposition** and **trial testimony** *Cesari & McKenna, Boston* Martin O'Donnell 617 951-3046

## **Other Consulting Engagements**

American Automobile Association (AAA of Northern California, Nevada & Utah)

Consulting on business and management issues related to IT projects

and Agile software development

Mark Williams, Director, Market Capabilities - Sales & Market Development 925 951 3223 Craig Butler, VP, eBusiness 415 241-3277

 Aceurity, Inc. (semiconductor design & marketing for high-definition video consumer devices) Consulting on intellectual property, business partnerships, hard disk storage, general business issues
 Vijay Desai, CEO & CMO 510 673-7863
 Pankaj Patel, CTO 408 888-3441

 Reactrix Systems, Inc. (designs and manufactures interactive video display systems) Consulting on intellectual property strategy, evaluation of patent applications and competitive technology
 Matthew Bell, Chief Scientist 650 400-6288
 Peter Bardwick, CFO 415 385-4523

### Pure Digital Technologies, Inc. [acquired by Cisco Consumer Products]

(designed and manufactured the Flip digital video camera)
 Evaluation of patent applications and search for further patentable inventions;
 Consultation for VP-Engineering on product development process
 and engineering organization
 John Furlan, VP-Engineering 650 888-9942
 Andre Neumann-Loreck, VP-Operations/Manufacturing 415 519-3954

## **Veritas Software Corporation**

Independent audit of a Cisco/IBM Storage Area Network software product, to determine compliance with a Cisco/Veritas contractual agreement

Latham & Watkins, Chicago David Nelson 312 876-7700